

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Substitute for form 1449/PTO)				ATTY. DOCKET NO. <b>043920-0032</b>	SERIAL NO. <b>09/522,709</b>
				APPLICANT <b>Fernando L. ALVARADO, et al.</b>	
				FILING DATE <b>March 10, 2000</b>	GROUP <b>3693</b>
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sub>2</sub> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number 4-Kind Codes ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear
					Translation Yes      No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		Fernando L. ALVARADO, "The Dynamics of Power System Markets," 12 March 1997 per Report Number PSerc-97-01.			
		EPRI Report (#TR-114276 titled: "Managing Transmission Risk," Final Report dated December 1999) with the instant application's two inventors (R. RAJARAMAN & F. ALVARADO) are listed amongst the team of principal investigators			
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EXAMINER <i>/Sara Chandler/</i>			DATE CONSIDERED 11/07/2009		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.  
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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	US					
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FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Code <sub>3</sub> -Number 4 -Kind Codes ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation
						Yes
						No
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		United States Notice of Allowance issued in United States Patent Application No. 11/898,136 dated August 14, 2009				
		United States Notice of Allowance issued in United States Patent Application No. 11/898,135 dated August 19, 2009				
		United States Notice of Allowance issued in United States Patent Application No. 11/898/137 dated August 26, 2009				
		United States Notice of Allowance issued in United States Patent Application No. 10/118,330 dated September 15, 2009				
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